Based on F (3/90)	orm PT	O-1449 O DEC 0	4 3001 F	ATTY. DOCKET NO. 1201-55		SERIAL N 09/115,9	<b>6</b> 町	RE RE
APPLICA	NT	NCES CITED BY	Š				CENTER	RECEIVE
(Use sever	ar sneets	s if necessary)		APPLICANTS Schneider et al.	<u>-</u>		CH CENTER 1600/2900	2001
				FILING DATE July 15, 1998		GROUP 1619	8	
			U.S. PATI	ENT DOCUMENTS		•		
EXAMINER INITIAL		DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROP	
, D	AA	5,149,319	9/22/92	Unger				
JQ	AB	5,209,720	5/11/93	Unger				
		F(	DREIGN PA	ATENT DOCUMENT	ΓS			
		DOCUMENT NUMBER	PUBLIC- ATION DATE	COUNTRY	CLASS	SUB- CLASS		LATION
							YES	NO
₩.	BA	WO 89/05160 (PCT DE 988/00751) (Fritzsch)	6/15/89	PCT			X	
D	BB	DE A 41 27 442 (Gross)	2/18/93	Germany			X	
B	ВС	EP A 131 540 (Rasor & Tickner)	1/16/85	EP			X	
		OTHER PF	CIOR ART (Inc	luding Author, Title, Date, P	ertinent Pages, E	tc.)		
		<u> </u>		DATE CONCIDED				
EXAMINI	ER	Halley		DATE CONSIDEREI	) 2/6	, / ) .07	02	

Draw line through / citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-1449 (3/90)			ATTY. DOCKET NO. 1201-55		SERIAL No 09/115,9		
LIST OF RI APPLICAN		NCES CITED BY					
(Use severa	l sheets	if necessary)					
				APPLICANTS Schneider et al.			•
				FILING DATE		GROUP	
				July 15, 1998 NT DOCUMENTS		1616	
	· · · · · · · · · · · · · · · · · · ·		U.S. FATE	INT DOCUMENTS	1	<u>T</u>	FILING
EXAMINER INITIAL		DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	DATE IF APPROPRIATE
N	AA	5,730,954	3/24/98	Albayrak et al.			
100	AB	5,599,523	2/4/97	Beller			
A.	AC	4,832,941	5/23/89	Berwing			
	AD	5,711,933	1/27/98	Bichon et al.	1		
R	AE	4,957,656	9/18/90	Cerny		<del>                                     </del>	
2	AF	4,684,479	8/4/87	D'Arrigo			
2	AG	4,859,363	8/22/89	Davis			
B	AH	3,615,972	N/A10/1971	Dow Chemical			
В	ΑI	5,137,928	8/11/92	Erbel		<del> </del>	
4	AJ	5,190,982	3/2//93	Erbel			
Ž.	AK	5,205,287	4/27/93	Erbel			
	AL	4,370,349	1/25/83	Evans			
9	AM	4,718,433	1/12/88	Feinstein			
4	AN	4,572,203	2/25/86	Feinstein		<b></b>	
20	AO	4,774,958	10/4/88	Feinstein		H	
7	AP	5,049,322	9/17/91	Fessi			
00	AQ	5,283,067	1/1/94	Geller			
6	AR	5,147,631	9/15/92	Glajch et al.			
ď	AS	5,364,612	11/15/94	Goldenberg			
2	AT	5,089,181	2/18/92	Hauser			
E C	AU	4,466,442	8/21/84	Hilmann et al.			
"IT"	AV	5,536,490	7/16/96	Klaveness			
1	AW	5,567,413	10/22/96	Klaveness			
to	AX	5,558,856	9/24/96	Klaveness et al.			
D	AY	3,650,831	N/A 3/1972	Jungermann			
40	AZ	5,552,133	9/3/96	Lambert et al.		1-1-	
à	AAA	5,536,489	7/16/96	Lohrmann			
	ABB	5,716,597	2/10/98	Lohrmann et al.			
<b>X</b>	ACC	4,927,623	5/22/90	Long		1	-
0%	ADD	4,192,859	3/11/80	Mackaness, et al			
bo	AEE	4,027,007	5/3177	Messina			
	AFF	5,601,085	2/11/97	Østensen			

Shee	t 2	of	7

<u> </u>					<u> </u>	·	<del>,</del> '	Sneet 2
	AGG	4,235,871	11/25/80	Papahadjopoulos,				
The state of the s				et al.				
70	АНН	5,409,688	4/25/95	Quay				
42	AII	5,393,524	2/28/95	Quay				
100	AJJ	4,442,843	4/17/84	Rasor				
(S)	AKK	4,657,756	4/14/87	Rasor				
300	ALL	4,681,119	7/21/87	Rasor		-		
Q	AMM	5,141,738	8/25/92	Rasor				
$\bigcirc$	ANN	5,425,366	6/20/95	Reinhardt				
188	A00	5,501,863	3/26/96	Rössling et al.				
8	APP	5,593,687	1/14/97	Rössling et al.				
	AQQ	4,544,545	10/1/85	Ryan				
	ARR	4,900,540	2/13/90	Ryan et al.				
	ASS	4,224,179	9/23/80	Schneider				
8	ATT	4,229,360	10/21/80	Schneider				
<b>E</b>	AUU	5,271,928	12/21/93	Schneider				
4	AVV	5,380,519	1/10/95	Schneider				
8	AWW	5,413,774	5/9/95	Schneider				
6	AXX	5,445,813	8/29/95	Schneider				
	AYY	5,531,980	7/2/96	Schneider				
8	AZZ	5,643,553	7/1/97	Schneider				
0	AAAA	5,658,551	8/19/97	Schneider				•
0	ABBB	5,567,414	10/22/96	Schneider				
<del>2</del>	ACCC	5,639,443	6/17/97	Schutt et al.				
0	ADDD	5,195,520	3/23/93	Schlief				
8	<del> </del>	5,380,411	1/10/95	Schlief				-
	AEEE	3,968,203	7/6/76	Spitzer				
- th	AFFF		6/20/95	Stein				
<u>\$</u>	AGGG	5,425,366	· <del>  </del>				'	
- A	АННН	4,265,251	5/5/81	Tickner				
9-	AIII	4,316,391	2/23/82	Tickner			····	
-	AJJJ	4,276,885	7/7/81	Tickner et al.				
	AKKK	5,088,499	2/18/92	Unger				
	ALLL	5,123,414	6/23/92	Unger				
<u> </u>	AMMM	5,874,062	2/23/99	Unger				
•	ANNN	5,776,429	7/7/98	Unger et al.				
	A000	5,352,436	10/4/94	Wheatley				
1	APPP	4,844,882	7/4/89	Widder et al.				
	AQQQ	5,556,610	9/17/96	Yan et al.			_	
	<del> </del>			TENT DOCUMENTS				
		DOCUMENT NUMBER	PUBLIC- ATION	COUNTRY	CLASS	SUB- CLASS	TRANSLA	TION
			DATE			027100		
							YES	NO
	-BA	ZA 910961		South Africa				
Je		(Albayrak)						P)
	BB	EP A 458 745	11/27/91	Eρ	Comment			
		(Bichon et al.)					1 may 2 may	<b>=</b>

Vate

,							٥	neer 5 0
	, BC	EP 359 246	3/21/90	El				
		(Cerny)						
	BD	EP 359 246 A3	3/21/90	ER				
Ø		(Cerny)						
	BE	GB 1,044,680	10/5/66	Great Britain				
17		(Dow Chemical)						
	BF	WO 84/02838	8/2/84	pct				
A		(Feinstein)		1,01				
	BG	WO 89/05160	6/15/89	0.4				X
	20	(PCT DE	0, 20, 0,	pct		,		
30		988/00751)						
d		(Fritzsch)						
	ВН	WO 92/05806	4/16/92	PCT	<u> </u>			
1	Dii	(Giddey)	"10",52	VCI				
V	BI	WO 92/19273	11/12/92	0.7				
1	DI	(EP 0 583 390)	11/12/92	PCT				
R		(Goldenberg)						
		DE A 41 27 442	2/18/93	Garmany		,		X
_	BJ		2/10/93	Germany				
20		(Gross)	7/12/00	0.1				
B	BK	CA 1239092	7/12/88	CA				
		(Hilmann)	0/16/00		+			
Q	BL	CA 1232837	2/16/88	CA				
		(Hilmann)	0.001					
9	BM	WO 91/12823	9/5/91	PCT				
		(Illum et al.)	10/15/00					
ا ا	BN	WO A 92/17212	10/15/92	PCT				
		(EP 0 576 519)						
		(Klaveness)	10/15/00				-	
_	ВО	WO 92/17213	10/15/92	PCT		ノ	:	
Jos		(EP 0 576 521)						
		(Klaveness)						
	BP	WO 92/21382	12/10/92	pct		_ ا		
2		(EP 0 586 524)						
		(Klaveness)						
_	BQ	CA 2077383	3/4/93	Canada				
L.		(EP 0535387)						
		(Krone)						
	BR	WO 93/5819	4/1/93	pct				
<i>Æ</i>		(EP 0 605 477)		'				
- A		(Quay)			ļ	<u></u>		
	BS	WO 94/16739	8/4/94	PCT				
×		(Quay)						
\ \ \ \	ВТ	WO 88/7365	10/6/88	PCT				
$\geq \!$		(Ranney)		•				
	BU	EP A 131 540	1/16/85	EP				X
10		(Rasor &						
	<u></u>	Tickner)						
\_	BV	GB 213 5647	9/5/84	Great Britain				
$  \bigcirc \rangle$	+	(Ridgway)						<u> </u>

Sheet 3 of 7

								Sheet 4 of 7	
	BW	WO 91/152	10/17/91	Pet					
		(Schneider 1)							
	BX	EP A 554 213	4/8/93	ΕP					
\$		(Schneider)		(6)				1	
	BY	WO-A-85/02772	7/4/85	PCT					
87		(Schroder)							
<b>b</b> .	BZ	NZ 253115	1/26/96	New Zealand		_			
$\bowtie$		(Stein)							
4	BAA	WO 92/18164	10/29/92	PCT					
<b>◆</b>		(Sutton)							
1	BBB	WO-A-80/2365	11/13/80	PCT	_				
$\mathcal{L}$		(Tickner et al.)		10.					
~	BCC	WO 91/09629	7/11/91	PCT					
2		(Unger)		PCI					
	BDD	WO 92/22247	12/23/92	PCT					
₹		(Unger)		' - '					
	BEE	WO 92/17514	10/15/92	PCT		_		1 .	
0		(Unger)							
	BFF	WO 93/06869	4/15/93	PCT					
8		(Vanderipe)		100					
0	- BGG	EP A 324 938	7/26/89	$\epsilon \ell$					
		(Widder et al.)				-			
1			IOR ART (Incl	uding Author, Title, Date, Pert	inent Pages, Et	c.)	· · · · · · · · · · · · · · · · · · ·		
4	CA	Biochemistry/Ed.	Stryer - 2 E	d., San Francisco, Free	man, pp. 20	08-09 (19	81)		
ù	СВ	Concise Encyclop	Concise Encyclopedia Of Polymer Sci. and Engin., Wiley, pp. 12-13 (1990)						
5	CC	Encyclopedia of P	olymer Scie	ence and Engineering,	2nd ed., <u>10</u> ,	p. 95, W	iley & Son	s,	
		1987)	•			_			
B	CD	Encyclopedia of P	Encyclopedia of Polymer Science and Engineering, pp. 164-69, Wiley & Sons, 1985						
,	CE	" "Freon" Fluoroc	arbons Prop	perties and Application	s," DuPont	Technical	Bulletin,	pp.	
1000		1-11 (1964)							
P	CF	""Freon" DuPont	Technical I	Bulletin, pp. 1-10 (1987	7)				
The	CG			ublishier (1976), CCIF					
4	СН			Excipients: Am. Pharn		183 (198	<u>(6)</u>		
2	CI			Kexikon, p. 2474 (198		· · · · · · · · · · · · · · · · · · ·			
•	CJ	- L		ıld Medical Dictionary,		Y., Mcgr	aw-Hill., r	. 749	
D		(1979)		<b>- ,</b> ,			// F		
ì	CK		and's Illust	rated Medical Dictiona	ry, 26 Ed	Philadelp	hia, Saund	ers,	
0	- CR	"Lecithin" in Dorland's Illustrated Medical Dictionary, 26 Ed., Philadelphia, Saunders, p. 721 (1981)							
	CL	Remington's Pharmaceutical Sciences: Mack Publ. Comp., 295-298: 736; 1242-44							
Q	CL	(1975)	maccanoui	Strinos, Mack I adi.	2011p., 275	270. 750	, 12.2 11		
B	CM		vest. Radiol	<u>, 25, 162-164 (1990) "(</u>	Characteric	s of Albur	nex"		
	CN			naceutical Science, 73,					
A	CIV			aded Polydilactide Mic		(170-	• ,		
~	CO			. Am., 87 (4), Apr. 199		-1797 "T	Iltrasonic		
		characterization of	f Albunex®	"	~, PP. 1172	1,71,	, an about		
	CP	Riceker et al 100	O I Illtrace	ound Med., 9:461-471,	(1999) "O	n the Ann	lication of		
K	CP	Ultrasonic Contra			(1777), U	n me App	nication of		
		Lomasonic Contra	or Agents	•					

,		Sheet 5 0
	, CQ	Bommer et al., Abstract of the 54 Scient. Session. Seculation 64, - 203, Abst. 770 (1981)
<u>~</u>	CR	Crommelin et al., Pharm. Res., pp. 159-163 (1984) "Stability of Liposomes on Storage"
5	CS	Crommelin et al., Liposomes as Drugs Carriers Symp., pp. 88-93 (1986) "Freezing and Freeze Drying of Liposomes"
•	СТ	de Gruyter, "Phospholipids", Concise Encyclopedia of Biochemistry, Berlin, pp. 348-49 (1983)
6	CU	deJong, et al., <u>Ultrasonics</u> , 1992, Vol. 30, No. 2, pp. 95-103, "Absorption and scatter of encapsulated gas filled microspheres"
[Ja	CV	deJong, et al., <u>Ultrasonics</u> , 1991, Vol. 29 Jul., pp. 324-330, "Principles and recent developments in ultrasound"
1	CW	Edwards, et al. <u>J. Acoust. Soc. Am.</u> , Vol. 70, No. 3 (1983), "Scattering of focused ultrasound by spherical microparticles"
	СХ	Epstein, et al., <u>J. Chem. Physics</u> , Vol. 18, No. 11, pp. 1505-1509, Nov. 1950, "On the Stability of Gas Bubbles in Liquid-Gas Solutions"
6	CY	Feinstein, et al., <u>JACC</u> , Vol. 16, No. 2, 8/90:316-24, "Safety and Efficacy of a New Transpulmonary Ultrasound Agent", <u>Amer. Hearts Assn. Monograph Circulation</u> , Part
1		II, Vol. 72, No. 4, Oct. 1985, Abstracts of the 58 <sup>th</sup> Scientific Ses.
6	CZ	Feinstein, et al., Am. J. of Physiologic Imaging, 1:12-18 (1986), "Myocardial Contrast
		Echocardiography"
0	CAA	Feinstein, J. of the Amer. Coll. of Cardiol, 8:251-253 (1986) "Myocardial Perfusion Imaging"
B	СВВ	H. Fessi, et al., "Nanocapsule formation by interfacial polymer deposition," <u>Int. J. Pharm.</u> , 1989, Vol. 55, No. 1, pp. R1-R4
6	ccc	Fieser and Fieser, Organic Chemistry, 3rd ed. (1956) p. 847
æ.	CDD	Fobbe, et al., <u>Furtschr Rontgenstr</u> , 154.3 (1991) 242-245, "Farbkodierte Duplexsonographic und Ultraschallkontrast mittel"
6	CEE	Ganguly et al., "Structure of hollow polystyrene microspheres"  Microencapsulation, Vol. 6, No. 2, 193-198 (1989)
8	CFF	Gardner, et al., (1988), "A Survey of Intraocular Gas Use in North America," Arch. Ophthalmol., 106:1188-1189
-	CGG	Goldberg et al., Radiology, 177, pp. 713-7 (1990) "Hepatic Tumors"
40	СНН	Helzel, Fortschr. Rontgenste, 140, pp. 337-340 (1984) "Erste Erfahrurgen"
	CII	Henry-Michelland et al., Colloids and Surfaces, 14, pp. 269-276 (1985). "Lyophilization
WZ-		and Rehydration of Liposomes"
B	CJJ	Jacobs, "Intraocular gas measurement using A-scan ultrasound," <u>Current Eye Research</u> , Vol. 5, No. 8, 1986, pp. 575-578
>	СКК	Keller et al, <u>Circulation Res</u> , <u>65</u> , 458-467 (1989) "The Behavior of Sonicated Albumin"
8	CLL	Krause et al., <u>International Journal of Pharmaceutics</u> ., <u>27</u> , pp. 145-155 (1985) "Polylactic acid nanoparticle"
₩.	СММ	Levene et al, <u>J. Acoust. Soc. Am.</u> , <u>87</u> (Suppl) 69 (1990) "Characterization of Albunex"
5	CNN	Lincoff, et al., "Intravitreal Longevity of Three Perfluorocarbon Cases, Arch.  Ophthalmology, 98:1610-1611 (1980)
le le	C00	Lincoff, et al., "Intravitreal Expansion of Perfluorocarbon Bubbles," Arch.  Ophthalmology, 98:1646 (1980)

Sheet	6	of	7

_			Sheet 0 0
	. ﴿ يُ	, CPP	Lincoff, et "The Perfluorocarbon Gases in the ment of Retinal Detachment," Ophthalmo 1, 90(5):546-551 (1983)
$\vdash$	<u> </u>	000	Lincoff, et al., "Perfluoro-n-butane: A Gas for Maximum Duration Retinal Tamponade,"
	2	CQQ	Arch. Ophthalmology, 101:460-463 (1983)
	Q.	CRR	K. Makino, "Preparation and in vivo degradation properties," Chem. Pharm. Bull.,
L	<u> </u>		(1985), Vol. 33, No. 3, pp. 1195-1201
	<b>&gt;</b>	CSS	Mattrey et al., Radiology, 148, pp. 759-762 (Dec. 1982) "UHM Sound; Perfluoroctylbr omide"
	0	СТТ.	Chem Abs., Vol. 102. No. 3, 21 (Jan. 1985), Maynard et al., "Ultrasonic absorption by liposomes"
	€	CUU	Meltzer, et al., <u>Ultrasound in Med. &amp; Biol.</u> , Vol. 7, No. 4, pp. 377-384, 1981, "Transmission of Ultrasonic Contrast Through the Lungs"
	0	CVV	Miller et al., <u>J. Amer. Soc. Anesthesiol.</u> , <u>36</u> 339-351 (1971) "Physicochemical Approaches"
$\mid$	P	CWW	Möhwald, Annu. Rev. Phys. Chem., 41, 441-76 (1990) "Phospholipid and Phospholipid-Protein Monolayers At The Air/Water Interface"
$\vdash$	0	CXX	Murrell et al, "Properties of liquids and solutions", Wiley, p. 276 (1982)
$\vdash$	<u> </u>	CYY	Nomura, et al., "US Contrast Enhancement of Hepatic Tumor with Helium Gas
	(~		Microbubbles: A Preliminary Report," Jpn. J. Med. Ultrasonics, Vol. 18, No. 5, 1991,
	4		pp. 444-450
T		CZZ	O'Hara et al, Journal of Membrane Science, 23 (1985) 1-9, "Preparation of
	D		ethylcellulose"
	``	CAAA	Ohta, et al., Jpn. J. Med. Ultrasonics, Vol. 18, No. 4 (1991), "Effect of the Contrast
L	<del>20</del>		Agent and the Agitation Met."
	8	СВВВ	Ophir, et al., <u>Ultrasound in Med. &amp;Biol.</u> , Vol 15, No. 4, pp. 319-333, 1989, "Contrast Agents in Diagnostic Ultras."
	R	CCCC	Ostro in Spektrum d. Wiss, 94-95, 98 (Marz 1987). "Liposomen"
	N N	CDDD	Özer et al., Acta Pharm. Tech. 34, pp. 129-139 (1988) "Influence of Freezing and
L	<u> </u>		Freeze Drying on Stability of Liposome"
	7	CEEE	Park, et al., <u>Journal of Chemical And Engineering Data</u> , Vol. 27, No. 3 (1982),
	D		"Solubility of Gases in Liquids. 14. Bunsen Coefficients for Several Fluorine-
$\vdash$			Containing Gases (Freons) Dissolved in Water at 298.15K"
	ک	CFFF	Peters et al., Am. J. Ophthalmol. 100 pp. 831-839 (1985) "The non expansive,
-		0000	equilibrated concentration of perfuoropropane in the eye"  Puisieux et al., Bull. Soc. Pharm. Bordeaux, 123, pp. 111-126 (1984) "Les Liposomes"
	& &	CGGG	,,
$\vdash$		СННН	Rompp Lex. Chemie. 8 Aufl. Stuttgart: Franckh; Bd. Z: Cm-G, (1981): Bd 3; H-L,
	· A	Cilili	(1983); Bd 4, M-Pk (1985) "Porositat"
上	E	CHI	Rompps Chemie Lexikon, "Phospholipide"
L		Clil	Schlief, Current Opinion in Radiology, 1993, 3:198-207, "Ultrasound Contrast Agents"
	D	CKKK	Schneider, et al., Investigative Radiol., <u>26(1)</u> , pp. S190-91 (1991) "A New Ultrasound
L	4-		Contrast Agent Based on Biodegradable Polymers Microballoon"
	$\swarrow$	CLLL	Schneider, et al., Investigative Radiol., 29(2), pp. S149-51 (1991) "The Use of Polymers
<u> </u>		ļ	Microballoons as Ultrasound Contrast Agent"
	A	СМММ	Swanson, pp. 682-687, "Chapter 22: Enhancement Agents for Ultrasound: Fundamentals," Pharmaceuticals In Medical Imaging, (1990)
$\vdash$		CNNN	Szoka et al, <u>PNAS</u> 75, pp. 4194-4198 (Sept. 1978) "Procedure for preparation of
	160	CINININ	Liposomes"
L		1	

C1	-	. 4	٠,
Sheet	1	01	,

			Siteet 7 0							
.	- 1	, COOO	Tomlinson C. J. Pharm. Tech. & Prod. Mfr., 2009. 49-57 (1983) "Microsphere							
ı			Delivery S, ms"							
	CPPP Ulmius et al, Biochem., 21, p. 1553 (1982) "Molecular organization"									
	, )	CQQQ	K. Uno, et al., "A new method of preparing monocored," J. Microencapsulation, 1984,							
	6	Vol. 1, No. 1, pp. 3-8								
		CRRR	Violante, et al., Investigative Radiology, Vol. 26, Nov. Supp 1991, "Particle-Stabilized							
	6	Bubbles for Enhanced Organ Ultrasound Imaging"								
	_	CSSS	Voigt et al., "Lzithine" in Lehrb. d. pharm. Tech. i, uberarb. Aufl Weinheim; VCH, p.							
-	Ø		367 (1979)							
ſ		CTTT	Wheatley et al, Biomaterials 11, pp. 713-17 (1990) "Contrast agents for diagnostic							
	B		ultrasound"							
		CUUU	Widder, et al. AJR:147, Aug. 1986, "Microbubbles as Contrast Agent for							
	0		Neurosonography and Ultrasound"							
_	- A53	CVVV	Fiedler, Lexikon der Hiffstoffe, 4, Auflage, 1182-85							
		CWWW	Zhang, et al., J. East China Inst. Chem. Tech., 12(3) 343-345 (1986) "A Study on the							
	0		Solubilities of F22 and C2F4 in Aqueous Solutions of HCl and NaCl"							
		CXXX	Zeghlouol et al, Journal de Chimie Physique, 83; 665-671 (1986) "NMR And							
	8		Microcalorimetry Study Of The Solubility Of Certain Halons And Their Interaction with							
			Human Serum Albumin"							
ı	EXAMIN	ER	DATE CONSIDERED							
		4	faitley 2/6/2002							

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.